

8 September 2017

Our Ref Finance, Audit and Risk
Committee/21.9.17

Your Ref.
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To: Members of the Committee: Councillor Terry Hone (Chairman), Councillor Simon Harwood (Vice-Chairman), Councillor Ian Albert, Councillor John Bishop, Councillor Jim McNally, Councillor Deepak Sangha and Councillor Terry Tyler.

Substitutes: Councillors Councillor Clare Billing, Councillor John Booth, Councillor Nicola Harris and Councillor Steve Jarvis.

You are invited to attend a

MEETING OF THE FINANCE, AUDIT AND RISK COMMITTEE

to be held in the

**LOWER HALL, ICKNIELD CENTRE, ICKNIELD WAY,
LETCWORTH GARDEN CITY**

On

THURSDAY, 21ST SEPTEMBER, 2017 AT 7.30 PM

Yours sincerely,



David Miley
Democratic Services Manager

Agenda **Part I**

Item	Page
1. APOLOGIES FOR ABSENCE	
2. MINUTES To take as read and approve as a true record the minutes of the meeting of this Committee held on the 12 June 2017.	(Pages 1 - 12)
3. NOTIFICATION OF OTHER BUSINESS Members should notify the Chairman of other business which they wish to be discussed by the Committee at the end of the business set out in the agenda. They must state the circumstances which they consider justify the business being considered as a matter of urgency. The Chairman will decide whether any item(s) raised will be considered.	
4. CHAIRMAN'S ANNOUNCEMENTS Members are reminded that any declarations of interest in respect of any business set out in the agenda, should be declared as either a Disclosable Pecuniary Interest or Declarable Interest and are required to notify the Chairman of the nature of any interest declared at the commencement of the relevant item on the agenda. Members declaring a Disclosable Pecuniary Interest must withdraw from the meeting for the duration of the item. Members declaring a Declarable Interest which requires they leave the room under Paragraph 7.4 of the Code of Conduct, can speak on the item, but must leave the room before the debate and vote.	
5. PUBLIC PARTICIPATION To receive petitions and presentations from members of the public.	
6. SHARED ANTI-FRAUD SERVICE (SAFS) - PROGRESS REPORT REPORT OF THE SHARED ANTI-FRAUD SERVICE MANAGER <i>To consider a progress report on the work of the Shared Anti-Fraud Service.</i>	(Pages 13 - 104)
7. SHARED INTERNAL AUDIT SERVICES - ANNUAL REPORT 2016/17 REPORT OF THE SHARED INTERNAL AUDIT SERVICES AUDIT MANAGER <i>To consider the Shared Internal Audit Services Annual Report 2016/17.</i>	(Pages 105 - 120)

8. **SHARED INTERNAL AUDIT SERVICES - UPDATE ON PROGRESS AGAINST THE 2017/18 AUDIT PLAN** (Pages 121 - 148)
REPORT OF THE SHARED INTERNAL AUDIT SERVICES AUDIT MANAGER
- To consider an update on the progress of the Shared Internal Audit Services (SIAS) against the 2017/18 Audit Plan.*
9. **AUDIT RESULTS REPORT FOR NHDC FOR THE YEAR ENDED 31 MARCH 2017** (Pages 149 - 190)
REPORT FROM ERNST AND YOUNG
- To consider the Audit Results report for NHDC for the year ended 31 March 2017.*
10. **ANNUAL GOVERNANCE STATEMENT 2016/17** (Pages 191 - 204)
REPORT OF THE SENIOR LAWYER
- To consider the Final Annual Governance Statement for 2016/17.*
11. **STATEMENT OF ACCOUNTS 2016/17** (Pages 205 - 296)
REPORT OF THE HEAD OF FINANCE, PERFORMANCE AND ASSET MANAGEMENT
- To consider the Statement of Accounts 2016/17.*
12. **FIRST QUARTER REVENUE BUDGET MONITORING 2017/18** (Pages 297 - 306)
REPORT OF THE HEAD OF FINANCE, PERFORMANCE AND ASSET MANAGEMENT
- To consider the First Quarter Revenue Budget Monitoring report 2017/18.*
13. **FIRST QUARTER CAPITAL PROGRAMME MONITORING 2017/18** (Pages 307 - 322)
REPORT OF THE HEAD OF FINANCE, PERFORMANCE AND ASSET MANAGEMENT
- To consider the First Quarter Capital Programme Monitoring Report 2017/18.*
14. **TREASURY MANAGEMENT FIRST QUARTER 2017/18** (Pages 323 - 340)
REPORT OF THE HEAD OF FINANCE, PERFORMANCE AND ASSET MANAGEMENT
- To consider the First Quarter Treasury Management Monitoring 2017/18.*
15. **RISK MANAGEMENT UPDATE** (Pages 341 - 344)
REPORT OF THE HEAD OF FINANCE, PERFORMANCE AND ASSET MANAGEMENT
- To consider a Risk Management Update.*
16. **FUTURE MEETING - POSSIBLE AGENDA ITEMS**
Introduced by the Chairman.

